

1 Optimization of Wind Farms for Communities

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6 Abstract

7 Energy supplies are moving away from environmentally damaging, finite, and expensive fos-
8 sil fuels to renewable energy resources through technological innovations. Wind energy is
9 one of the most advanced renewable energy resources due to the extensive research that
10 has been ongoing over the last decades to optimize aerodynamic performance of wind tur-
11 bines, structural design of wind turbines, control strategies, site selection, and the layout of
12 wind farms. This chapter outlines fundamental elements of wind farm layout optimization
13 including optimization parameters, objective functions, wake loss models, and search meth-
14 ods. Optimization parameters include base location, number, rotor diameter, hub height,
15 rotational direction, and yaw angle of wind turbines, as well as shape of wind farm area. In
16 the wake loss models section, all existing wake loss models including large eddy simulation,
17 non-linear and linearized Reynolds-averaged Navier-Stokes models, stochastic models, kine-
18 matic models, and empirical models are discussed. In addition, different search methods,
19 from simple greedy search algorithms to advanced genetic algorithms, are briefly reviewed
20 and compared.

21 1 Introduction

22 The operational performance of a wind turbine sited in a wind farm - of any scale; either
23 a small onshore community-sized wind farm or a commercial-sized offshore wind farm - is
24 negatively affected by the wake of other wind turbines. Hence, under similar wind condi-
25 tions, the annual energy production (AEP) of a wind turbine sited in a wind farm is always
26 significantly less than that of an identical single isolated wind turbine. To put this into
27 perspective, the relative power production of a turbine located in the second row of the Nor-
28 rekaer wind farm, an onshore wind farm in Denmark, is approximately 40-50% of a single
29 isolated turbine under similar free-stream conditions when wind blows along the column of
30 the turbines. Wind farm layout optimization, in its classic definition, is known as optimiz-
31 ing the position of wind turbines in order to minimize the above-described negative wake
32 effect. In more advanced and inclusive analyses, however, several other characteristics of the
33 wind farm, including, number of turbines [1, 2], rotor diameter (i.e. turbine type) [3, 4],
34 hub height [5, 6, 7, 8, 3, 9, 10], rotational direction [11], and length of power transmission
35 lines [12, 13, 14, 15, 16, 17, 18, 19, 20] are determined simultaneously with the position
36 of wind turbines in order to optimize the annual energy production of the wind farm, the
37 environmental impacts, and the economic benefits.

38 The process of optimizing the layout of a wind farm consists of two major steps (Fig. 1).
39 First, a search algorithm is required to identify all possible layouts over the given wind farm
40 area. Second, a wake-loss model is required to predict the power production of the layout
41 identified in the first step. Depending on the way through which the interaction between
42 these two steps are defined, optimization techniques can be classified as one-way or two-way
43 algorithms.

44 In optimization algorithms with the one-way structure, the search process is independent
45 of the power prediction step. The search algorithm identifies a layout, and then, the power

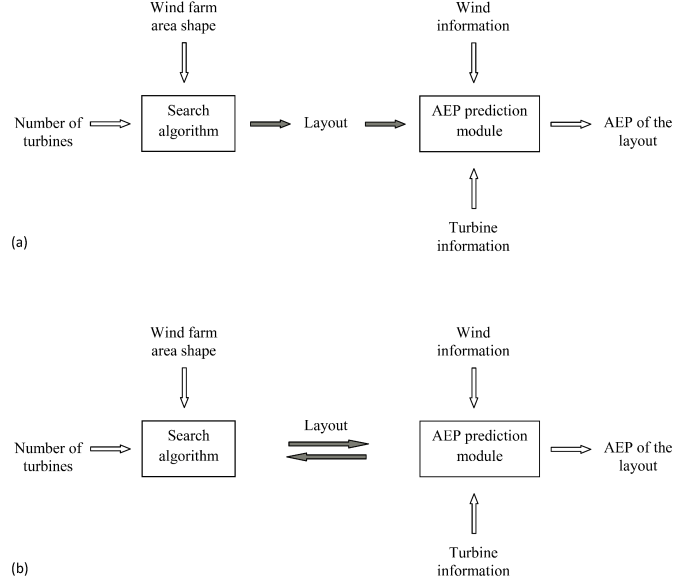


Figure 1: Wind farm layout optimization algorithm with (a) a one-way structure and (b) a two-way structure.

46 production of the identified layout is predicted and stored by the power prediction module
 47 of the optimization algorithm (Fig. 1(a)). Once the search is completed, the layout with the
 48 maximum power (or maximum AEP) is selected as the optimal layout. One example of a
 49 one-way optimization structure is the algorithm developed by Ghaisas and Archer [21]. They
 50 described a wind farm layout using four independent design parameters defined as the spac-
 51 ing between consecutive turbines in the X-direction (SX), the spacing between consecutive
 52 turbines in the Y-direction (SY), the staggering of alternate rows in the Y-direction (SDY),
 53 and the angle between rows and columns (β). Then, hundreds of layouts are identified by
 54 assigning ranges of values to those discrete design parameters (i.e., SX, SY, SDY, and β) and
 55 power production of each layout is predicted using Geometric Models. Finally, the layout
 56 with highest power production is chosen as the optimal layout. During this exhaustive search
 57 for identifying possible layouts no information is communicated between the search and the
 58 power prediction modules and all of the layouts are identified upfront.

59 In optimization algorithms with a two-way structure, however, the search algorithm con-

stantly communicates with the power prediction module and modifies the search process accordingly (Fig. 1(b)). Hence, the two-way optimization algorithms are more sophisticated; however, they are smarter and are able to identify more efficient optimal layouts in a shorter period of time. For instance, in the optimization algorithm developed by Vassel-Behagh and Archer [5], first, a turbine-placement grid with N_g grid points is mapped onto the wind farm area, and the optimization algorithm is initialized by placing one turbine at one of the grid points. Then, the second turbine is placed at all $(N_g - 1)$ available locations one by one to determine the base location for which AEP of the two placed turbines is maximized. This procedure continues until n reaches N_T , where N_T is the total number of turbines. This dynamic programming approach identifies the optimal layout by adding one turbine at a time according to the information that is being communicated between the search and the power prediction modules.

In this chapter, first all optimization variables and objective functions that need to be taken into account to develop an efficient design for a community-sized wind farm are discussed (§2). Then, different kinds of wake-loss models that have been introduced in literature in order to predict power production of a given wind farm are presented (§3). Finally, available search algorithms that have been developed for wind farm layout optimization purposes are presented and discussed (§4).

2 Objective functions and optimization variables

Wind farm layout optimization includes identifying not only the optimal positions for the turbines to maximize the power or the annual energy production of the wind farm, but also the optimal hub height, the optimal number of turbines, the optimal rotational direction, and the optimal rotor diameter (i.e., turbine type) to minimize the levelized cost of energy, to minimize the adverse environmental impacts such as noise production, and to minimize

Table 1: Objective functions considered for wind farm design and development.

Objective functions	Objective	Literature
Annual Energy Production (AEP)	Maximizing	[22? , 18, 35? , 84?]
Power Production (PP)	Maximizing	[6, 23, 13, 24, 25, 26, 27, 28]
Levelized Cost of Energy (LCOE)	Minimizing	[2, 29, 23, 30, 31, 32, 33, 34, 35]
		[36, 12, 37, 38, 39, 40, 41, 42, 43]
Net Present Value (NPV)	Maximizing	[44? ? ?]
Noise Propagation (NP)	Minimizing	[2, 1, 45, 46, 47]
Loads Acting on Wind Turbines (WTL)	Minimizing	[24, 23, 48]

84 the fatigue loads acting on the wind turbines. In this section, all of these objective functions
85 and optimization variables are introduced and discussed in details.

86 2.1 Objective functions

87 A list of the most essential objective functions that are required to be optimized in order to
88 develop an efficient design for a commercial-sized wind farm is presented in Table 1. Among
89 all, the annual energy production of the wind farm is the most critical objective function in
90 a wind farm layout optimization analysis. The annual energy production of a wind farm is
91 calculated as,

$$\begin{aligned}
 AEP = \sum_{i=1}^{360} [fr_i \times (\sum_{j=1}^{n_1} [p_w(u_j)P_c(u_j) \sum_{k=1}^{n_t} [P_{rel(k,i)}]]) + \\
 \sum_{j=n_1}^{n_2} [p_w(u_j)P_{rated}N_T]) \times n_h]
 \end{aligned} \tag{1}$$

92 where fr_i is the relative frequency of wind in direction i , $p_w(u_j)$ is the probability of having
93 wind at speed of $u_j = (0.5 + j)du$ in direction i and is calculated via Weibull distribution
94 (Eq. (2)), du is the wind speed resolution, $P_c(u_j)$ is the power obtained from the power
95 curve of wind turbine at wind speed of u_j , P_{rated} is the rated power of wind turbines, n_1 and
96 n_2 are respectively defined as $n_1 = 1 + u_{rated}/du$ and $n_2 = u_{cut-out}/du$, P_{rel} is the relative
97 power calculated via a wake-loss model, and $n_h = 8760$ denotes number of hours per year.
98 The Weibull distribution, used in Eq. (1) to represent the wind velocity probability density

99 ranging from the cut-in to the cut-out wind speeds, is defined as,

$$P_w(u)du = \left(\frac{k_w}{c_w}\right)\left(\frac{u}{c_w}\right)^{k_w-1} \exp\left[-\left(\frac{u}{c_w}\right)^{k_w}\right] du, \quad (2)$$

100 in which P_w , u , k_w and c_w are probability density, wind speed, shape factor, and scale factor
101 respectively.

102 In many of the wind farm optimization studies, the farm-averaged power production
103 of the wind farm, defined as the total power production of the farm divided by the total
104 number of turbines, is simply used as the objective function of the optimization analysis.
105 It is important to note that a wind farm optimized by maximizing its power production is
106 not necessarily identical to the optimal layout which is obtained based on maximizing the
107 annual energy production, and as the annual energy production is what really matters as
108 the total output of a wind farm, it is recommended to use the annual energy production as
109 the main objective function of the wind farm layout optimization analysis.

110 Another popular objective of wind farm layout optimization algorithms is minimizing the
111 Levelized Cost of Energy (LCOE) [\$/kWh]. In general, the levelized cost of energy is defined
112 as the average total cost to build and operate a wind farm over its lifetime divided by the
113 total energy output of the wind farm over that period of time. Accordingly, the levelized
114 cost of energy is calculated as,

$$LCOE = \frac{C_{Inv}}{aE_a} + \frac{C_{O\&M}}{E_a} \quad (3)$$

115 where $C_{Inv} = C_{RNA} + C_{SS} + C_{Elect} + C_{Decom}$ is the capital cost in which C_{RNA} is the
116 Rotor-Nacelle Assemblies costs, C_{SS} includes the the support and the structure costs, C_{Elect}
117 denotes the electrical interconnection costs, C_{Decom} is the decommissioning cost, $C_{O\&M}$ is

118 the operational and maintenance costs, and a is the annuity factor defined as

$$a = (1 - (1/(1+r))^T)/r \quad (4)$$

119 where T is the lifetime of the wind farm in years and r is the interest rate in [%] and is
 120 defined as the summation of discount rate and inflation rate. In Eq. (3), E_a is the net
 121 effective expected electrical energy of the wind farm and is defined as,

$$E_a = \left[\sum_{i=1}^{N_T} (E_{WT,i} - E_{WL,i} - E_{CL,i}) - E_{LT} \right] \quad (5)$$

122 where $E_{WT,i}$ is the maximum possible energy production of turbine i assuming that turbine
 123 i is a front-row turbine, $E_{WL,i}$ is the energy that turbine i loses due to wake effects, $E_{CL,i}$
 124 stands for the energy that turbine i loses through the collection cables, and E_{LT} is the energy
 125 loss through the transmission cables.

126 If the interest rate is low, then it is more efficient to use the Net Present Value (NPV)
 127 instead of the Levelized Cost of Energy (LCE) as the objective function for a wind farm
 128 layout optimization. The NPV is defined to take into account the fact that a given amount
 129 of money is more valuable now than it will be in the future as it can be used now to make
 130 more money in the future. The NPV is defined as,

$$NPV = \frac{P_1}{(1+r)^1} + \frac{P_2}{(1+r)^2} + \dots + \frac{P_n}{(1+r)^n} \quad (6)$$

131 in which r is the rate of interest and must be given as a decimal (not percent) and P_i stands
 132 for the yearly payment of the i^{th} year.

133 The noise created by a community wind farm, which is located near residential areas, may
 134 be annoying to people living nearby, hence, the layout of those wind farms must be developed
 135 so that the noise level of the farm is minimized. Noise created by wind farms has two different
 136 sources; first, the aerodynamic noise produced by the blades of turbines cutting through the

137 air on their downward motion, and second, noise made by the gearbox system. Employing
138 thinner blades and shifting to direct drive (i.e., gear-less) wind turbine technologies are efficient
139 approaches to reduce noise of wind turbines at the manufacturing stage. At the wind farm
140 design and development stage, however, the total noise production of a wind farm can be
141 reduced by smart placement of wind turbines. In community wind projects, turbines are
142 normally placed in the wind farm based on a trade-off between maximizing the annual energy
143 production of the wind farm and minimizing its noise propagation. The noise calculations
144 are usually conducted based on the International Standard ISO 9613 which includes a general
145 method of calculation for attenuation of sound during propagation outdoors. Accordingly,
146 sound pressure level of each wind turbine at each receptor location is calculated as

$$L_p = L_w + D_c - A_f \quad (7)$$

147 where $L_w = 100$ db [1] is sound power level, D_c stands for directivity correction in dB if
148 the source does not emit sound equally in all directions, A_f is the octave-band attenuation
149 defined as

$$A_f = A_{div} + A_{atm} + A_{gr} + A_{bar} + A_{misc} \quad (8)$$

150 in which A_{div} is the attenuation due to geometrical spreading, A_{atm} is the attenuation due to
151 air absorption, A_{gr} is the attenuation due to ground absorption and reflection, A_{bar} is the free
152 field diffraction attenuation of a barrier, and A_{misc} is the attenuation due to miscellaneous
153 effects such as weather variability and dispersion through complex acoustical structures.
154 International Energy Agency (IEA) has provided the following approximation for Eq. (7),

$$L_p(d_{ir}) = L_w - 10 \times \log(2\Pi d_{ir}^2) - \alpha d_{ir} \quad (9)$$

155 where the indices i and r represent turbine and receptor, d is the distance between turbine

156 and receptor, and $\alpha = 0.005 \text{ db/m}$ is a constant. Individual sound pressure levels calculated
 157 via Eq. (9) are then summed up using the following equation,

$$L_{p,avg} = 10 \times \log\left(\sum_{i=1}^{ns} \left(\sum_{j=1}^8 10^{0.1(L_p(i,j)+A_f(i,j))}\right)\right), \quad (10)$$

158 in which ns is number of sound sources (i.e., number of turbines), $L_p(i, j)$ is the individual
 159 sound pressure level associated with turbine i and octave band j .

160 Finally, minimizing the fatigue loads acting on the structure of wind turbines can be
 161 considered as another objective function for wind farm layout optimization analyses as the
 162 damage equivalent loads in a wind farm are highly affected by partial wake overlap and
 163 can be significantly decreased by smart placement of turbines and smart handling of yaw-
 164 misalignment [24, 23, 48].

165 2.2 Optimization variables

166 If changing the value of a parameter simultaneously exerts a positive and a negative effect on
 167 the objective function of a problem, then that parameter can be considered as an optimization
 168 variable and there might be an optimal value for it. The most popular optimization variables
 169 for wind farm layout optimization analyses is presented in Table 2.

170 For instance, by lowering the the hub height of the downstream wind turbine by a spe-
 171 cific length equal to $k \times d$, where k is the decay coefficient ($k=0.04$ and 0.078 for offshore
 172 and onshore wind farms respectively) and d is the axial distance between the turbines, the
 173 downstream wind turbine starts to become unexposed to the upstream wake (see Fig. 2).
 174 This *positively* affects the power production of the downstream wind turbine. On the other
 175 hand, due to the shear effect, lowering the hub height of the downstream wind turbine causes
 176 a reduction in the speed of the wind experienced by this wind turbine, which *negatively* af-
 177 fects its power production. Due to this simultaneous positive and negative effects that are

Table 2: Optimization variables considered for wind farm design and development.

Optimization variables	Studies
Turbine positions	[49, 50, 29, 18, 51, 12, 52, 53, 54?]
Number of turbines	[13, 55, 42, 1, 56]
Rotor diameter	[3, 4]
Hub height	[6, 57, 5, 8, 4]
Electrical Cable Length	[12, 13? , 15, 16, 17, 18? , 20]
Rotational direction	[11]
Wind farm area	[58, 37, 59, 46, 60]

178 brought about by variation of the hub height of the downwind turbine, the "hub height" can
 179 be considered as an optimization variable, and in fact, in many cases, a compromise between
 180 the two negative and positive effects can be reached so that the maximum power production
 181 of the downstream wind turbine can be achieved at a height lower than the hub height of the
 182 upstream wind turbine. Building the downstream wind turbine at this optimal hub height
 183 not only increases the power production, but also slightly decreases the average height of
 184 the wind farm leading to a reduction of the capital and the maintenance costs [5].

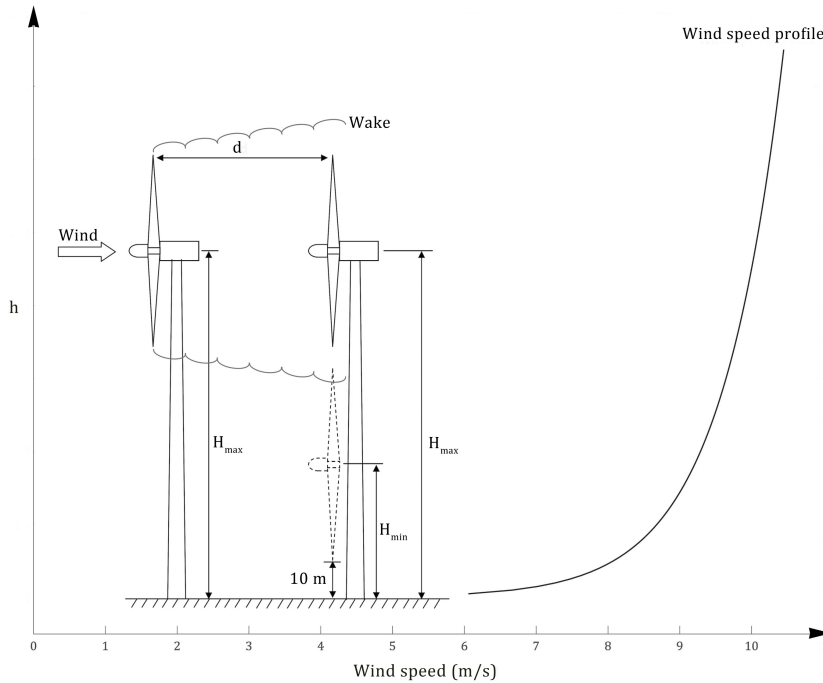


Figure 2: Two in-line wind turbines aligned with the wind direction. The upstream turbine is placed at H_{max} while the hub height of the downstream turbine may vary from H_{max} to H_{min} [5].

Table 3: The most popular wake loss models used in wind energy applications.

Wake loss models	
Large Eddy Simulations (LES):	- LES with actuator lines - LES with actuator disks
Non-Linear Reynolds-Averaged Navier-Stokes (RANS) Models:	- K- ϵ with actuator lines/disks - K- ω with actuator lines/disks
Stochastic Models	
Linearized RANS Models:	- Ainslie - Fuga
Kinematic (Analytical) Models:	- PARK (Jensen) - Bastankhah / Porte Agel (BPA) - Xie / Archer - Geometric Model - Frandsen - Larsen
Experimental Models:	- Ishihara

3 Wake-loss models

A list of the most popular wake loss models used for wind farm design and development purposes is provided in Table 3. These models are described in detail in the following sections.

3.1 Large eddy simulations (LES)

3.1.1 Governing equations

Large eddy simulations govern dynamics of large eddies by removing those with scales smaller than a filter width from the unsteady Navier-Stokes equations and modeling their effects using a subgrid scale model. The filter width is defined as $\Delta = \sqrt[3]{\Delta x \Delta y \Delta z}$ where Δx , Δy , and Δz are cell sizes in the x, y and z directions respectively. The incompressible formulations of the filtered continuity and momentum equations are as follows:

$$\frac{\partial \bar{u}_i}{\partial x_i} = 0 \quad (11)$$

$$\frac{\partial \bar{u}_i}{\partial t} + \frac{\partial \bar{u}_i \bar{u}_j}{\partial x_j} = -\frac{\partial \hat{p}}{\partial x_i} - \frac{\partial \tau_{ij}^D}{\partial x_j} - \frac{1}{\rho_0} \frac{\partial p_0(x, y)}{\partial x_i} + F_{ext} \quad (12)$$

196 where the bar denotes spatially resolved components; i, j , and k are the indices of the three
 197 spatial components x, y and z ; u is the wind speed; t is time; \hat{p} is the modified pressure
 198 defined as $[\bar{p}(x, y, z, t)/\rho_0 - p_0(x, y)/\rho_0 + \rho_0 g z/\rho_0 + (\tau_{kk})/3]$; p and p_0 are the static and mean
 199 pressure; ρ_0 is the reference air density; τ_{ij}^D is the traceless part of the wind stress tensor;
 200 and F_{ext} stands for the external forces applied to the wind, including those induced by the
 201 wind turbines. According to the Boussinesq eddy viscosity assumption, the traceless stress
 202 tensor τ_{ij}^D given in Eq. (12) is defined as

$$\tau_{ij}^D = -2\nu_t \bar{S}_{ij} \quad (13)$$

203 in which the kinematic eddy viscosity ν_t is defined using the subgrid scale model proposed
 204 by Smagorinsky [61] as,

$$\nu_t = (c_s \Delta)^2 |\bar{S}| \quad (14)$$

205 where $c_s = 0.168$ is the Smagorinsky constant, $\bar{S}_{ij} = (\partial \bar{u}_i / \partial x_j + \partial \bar{u}_j / \partial x_i) / 2$ is the filtered
 206 strain rate tensor, and $|\bar{S}| = \sqrt{2 \bar{S}_{ij} \bar{S}_{ij}}$ is the norm of the filtered strain rate tensor. The
 207 external force F_{ext} term in Eq. (12) includes the Coriolis force, the buoyancy force, and the
 208 force exerted by turbine blades that is calculated using the actuator line model presented in
 209 section 3.1.2. Accordingly, the external force F_{ext} can be expressed as,

$$F_{ext} = \frac{1}{\rho_0} F_i + g \left(\frac{\bar{\theta} - \theta_0}{\theta_0} \right) \delta_{i3} - \epsilon_{ijk} f \bar{u}_k \quad (15)$$

210 where F_i is the force generated by the actuator line model, ϵ_{ijk} is the alternating unit tensor,
 211 g stands for the gravitational acceleration, θ is the potential temperature, $\theta_0 = 300K$ is the

212 reference temperature, δ_{ij} is the Kronecker delta, and f is the Coriolis parameter defined as
 213 $f = 2\Omega \sin\phi$ in which Ω is the Earth rotational speed ($\sim 2.95 \times 10^{-5}$ rad/s), and ϕ is the
 214 site latitude. The following potential temperature equation needs to be solved coupled with
 215 Eqs. (11) and (12) to obtain the potential temperature needed to calculate the buoyancy
 216 term in Eq. (15),

$$\frac{\partial \bar{\theta}}{\partial t} + \frac{\partial(\bar{u}_j \bar{\theta})}{\partial x_j} = \frac{\partial q_j}{\partial x_j} \quad (16)$$

217 where q_j represents the temperature flux defined as

$$q_j = -\frac{\nu_t}{Pr_t} \frac{\partial \bar{\theta}}{\partial x_j}, \quad (17)$$

218 and Pr_t is the subgrid turbulent Prandtl number defined as [62],

$$Pr_t = \frac{1}{1 + 2\frac{l}{\Delta}} \quad (18)$$

219 in which,

$$l = \begin{cases} \min(7.6\frac{\nu_t}{\Delta}(s^{-\frac{1}{2}}), \Delta) & \text{if } s > 0 \\ \Delta & \text{if } s \leq 0 \end{cases} \quad (19)$$

220 and,

$$s = \frac{g}{\theta_0} \frac{\partial \bar{\theta}}{\partial z}. \quad (20)$$

221 Usually $l = \Delta$, and hence, $Pr_t = \frac{1}{3}$.

222 3.1.2 The actuator line model

223 The actuator line modeling, proposed by Sørensen and Shen [63], are usually employed along
224 with large eddy simulations to model the effect of wind turbines. In this model, the turbine
225 blades are represented by three rotating lines that are discretized into N_{be} blade elements
226 with centers located at (x_n, y_n, z_n) . N_{be} is recommended to be at least 40. Using airfoil
227 lookup tables, the aerodynamic forces are calculated for each blade element $f_i^a(x_n, y_n, z_n, t)$.
228 Summation of the aerodynamic forces of blade elements corrected via a regularization kernel
229 yields the body force exerted by the blades onto the flow field,

$$F_i = \sum_{n=1}^{40} \frac{f_i^a(x_n, y_n, z_n, t)}{\pi^{3/2}\varepsilon^3} \exp[-(\frac{r_n}{\varepsilon})^2], \quad (21)$$

230 where $f_i^a(x_n, y_n, z_n, t)$ is the actuator element force, F_i is the force field projected as a body
231 force onto CFD grid, r_n is the distance between CFD cell center and the blade element,
232 and ε is used to control the Gaussian width so that it spans from the leading edge to the
233 trailing edge of the blade elements. The value of ε is recommended to be $l_c/4.3$, where
234 l_c indicates the chord length of the blade elements, so at both trailing and leading edges
235 (i.e. $r_n = l_c/2$) the exponential term is reduced to approximately 1% of its maximum [64].
236 The power calculations are based on the aerodynamic torque that is exerted on the blades.
237 Multiplying the aerodynamic torque by the rotational speed of the rotor yields the power
238 output.

239 3.2 Nonlinear Reynolds-averaged Navier-Stokes (RANS) models

240 3.2.1 Governing equations

241 The continuity and the momentum equations using the Reynolds-averaged Navier-Stokes
 242 (RANS) decomposition are as follows,

$$\frac{\partial U_i}{\partial x_i} = 0 \quad (22)$$

$$\rho U_j \frac{\partial U_i}{\partial x_j} = -\frac{\partial P}{\partial x_i} + \frac{\partial}{\partial x_j} \left[\mu \left(\frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \right] + \frac{\partial}{\partial x_j} \left[\mu_t \left(\frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \right] + F_{ext} \quad (23)$$

243 in which μ_t is turbulent viscosity and is defined using a two-equation closure model, such as
 244 the $k - \epsilon$ or the $k - \omega$ models. Turbulent viscosity in the $k - \epsilon$ model is defined as,

$$\mu_t = \rho C_\nu \frac{k^2}{\epsilon} \quad (24)$$

245 where k and ϵ are turbulent kinetic energy and the kinetic energy dissipation rate respectively.
 246 The transport equations for k and ϵ are,

$$u_i \frac{\partial k}{\partial x_i} = \frac{\partial}{\partial x_i} \left[\left(\nu + \frac{\nu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_i} \right] + \nu_t \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \frac{\partial u_i}{\partial x_j} - \epsilon \quad (25)$$

$$u_i \frac{\partial \epsilon}{\partial x_i} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_\epsilon} \right) \frac{\partial \epsilon}{\partial x_i} \right] + C_{\epsilon 1} P_k \frac{\epsilon}{K} - C_{\epsilon 2} \frac{\epsilon^2}{k} \quad (26)$$

247 where C_ν , $C_{\epsilon 1}$ and $C_{\epsilon 2}$ are the standard model constants and $(\sigma_k, \sigma_\epsilon)$ are the turbulent
 248 Prandtl numbers for k and ϵ respectively. In Eq. (23), F_{ext} stands for the external forces
 249 applied to the wind, including those induced by the wind turbines. Wind turbine forces can
 250 be modeled through the actuator line model described in section 3.1.2, or using the actuator
 251 disk model described in the following section.

252 3.2.2 The actuator disk model

253 In the actuator disk model, the wind turbine rotor is modeled as a disk with a diameter
254 equal to the rotor diameter of the real wind turbine and a depth equal to the thickness of
255 the blades. The F_{ext} is then defined as,

$$F_{ext} = \frac{1}{2} \frac{\rho C_T U_0^2}{\Delta x} \quad (27)$$

256 where U_0 is the inlet velocity at hub height level, Δx stands for the control volume length
257 and is equal to the actuator disk thickness, and C_T is the thrust coefficient defined as,

$$C_T = \frac{T}{\frac{1}{2} \rho U_\infty^2 A_D} \quad (28)$$

258 in which U_∞ is free stream wind speed, $A_D = \pi D^2/4$ stands for the rotor swept area, and T
259 is the thrust force and is a function of lift (C_L) and drag (C_D) coefficients obtained through
260 airfoil lookup tables.

261 3.3 Stochastic models

262 The stochastic models are introduced to fill the gap between the accurate, however, compu-
263 tationally expensive CFD-based models and less accurate, however, computationally efficient
264 analytical models. One of the most effective stochastic models is the wake model proposed
265 by Doubrawa et al. [65] based on large eddy simulations of an offshore wind farm. The
266 proposed stochastic model was found to successfully reproduce the mean characteristics of
267 the original LES wake, including its area and stretching patterns, statistics of the mean
268 azimuthal radius, the mean and standard deviation of the wake width and height, and the
269 velocity deficit and meandering. In this model, the cross section of the wake is defined as a
270 series of wake radius versus azimuth $r_w(\theta)$, where θ is the azimuth angle with respect to the

271 vertical direction and r_w is the distance between the center of the wake from the boundary
 272 of the wake at the azimuth angle of θ . The r_w is then decomposed into $\langle r_w \rangle$ and r'_w as
 273 $r_w = \langle r_w \rangle + r'_w$ in which $\langle r_w \rangle$ is the azimuthal mean radius and r'_w stands for radii perturba-
 274 tions. At each iteration, $\langle r_w \rangle$ is estimated using stochastic methods and r'_w is obtained using
 275 spectral analysis. The azimuthal mean radius $\langle r_w \rangle$ is further decomposed into a constant
 276 temporal mean $\overline{\langle r_w \rangle}$ and a dynamic perturbation $\langle r_w \rangle'$ around the constant temporal mean.
 277 The values of $\overline{\langle r_w \rangle}$ are extracted upfront from the LES and will be provided by the user as
 278 initial conditions of the wake simulator. These values are given in [65] for different distances
 279 downstream of turbines. The perturbations $\langle r_w \rangle'$, however, are obtained at every time step
 280 through a first-order auto-regressive model as,

$$\langle r_w \rangle'_t = \rho_1 \langle r_w \rangle'_{t-1} + \epsilon(t) \quad (29)$$

281 where $\rho_1 = 0.9$ is the first-order auto-correlation for the $\langle r_w \rangle'$ time series obtained from the
 282 LES data which was found to be approximately the same for different distances downstream,
 283 and $\epsilon(t)$ are the random innovations in the form of white noise that make up the time series
 284 variability. These innovations are randomly sampled from a normal distribution of mean
 285 $\mu = 0$ and standard deviation $\sigma = 0.05R$ which were determined based on the original LES
 286 time series of wake radii. More information on stochastic wake models can be found on
 287 [66, 67].

288 3.4 Linearized RANS models

289 3.4.1 Ainslie model

290 Ainslie wake model, proposed by Ainslie [68], is a two-dimensional model based on the
 291 assumptions that wake of a wind turbine is axisymmetric and pressure gradients are negligible
 292 in the wake region. The continuity and momentum equations in free stream direction and

293 in cylindrical coordinates are as follows,

$$\frac{1}{r} \frac{\partial(rv)}{\partial r} + \frac{\partial u}{\partial x} = 0 \quad (30)$$

$$u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial r} = -\frac{1}{r} \frac{\partial(\overline{ru'v'})}{\partial r} \quad (31)$$

294 where,

$$-\overline{u'v'} = \epsilon(x) \frac{\partial u}{\partial r} \quad (32)$$

295 where ϵ is eddy viscosity and is assumed to be a function of distance downstream of the wind
 296 turbine. Ainslie decomposed the eddy viscosity term into the ambient eddy viscosity of the
 297 atmosphere and the eddy viscosity generated by the wake as,

$$\epsilon(x) = \epsilon_a + \epsilon_w(x) = \epsilon_a + kb(U_\infty - u_c(x)) \quad (33)$$

298 in which k is constant and is empirically found to be 0.015, and b is the wake width and is
 299 defined as follows based on wind tunnel data,

$$b = \sqrt{\frac{3.56C_T}{4(U_\infty - u_c)(2 - (U_\infty - u_c))}} \quad (34)$$

300 where u_c is wind speed at the centerline of the wake. The Ainslie model is not valid in the
 301 near wake area (within 2D from downwind of the rotor), and the following Gaussian velocity
 302 profile is used as a boundary condition at $x=2D$,

$$1 - \frac{u(r)}{U_\infty} = (U_\infty - u_c) \exp\left(-3.56\left(\frac{r}{b}\right)^2\right) \quad (35)$$

303 where u is the wind speed at radial distance r with respect to the center line and axial
 304 distance $x = 2D$ downwind of the wind turbine. The initial wind speed deficit at the
 305 centerline of the wake is,

$$U_\infty - u_c = C_T - 0.05 - (16C_T - 0.5) \frac{I}{10} \quad (36)$$

306 where I is the ambient turbulence intensity.

307 3.4.2 Fuga model

308 Ott et al. [69] developed a linear RANS model called Fuga by employing a very simple
 309 closure instead of the one introduced through Eq. (24) to Eq. (26) in the $k - \epsilon$ model.
 310 According to the Fuga model,

$$\mu_t = \rho k u^* z \quad (37)$$

311 where $k = 0.4$ is the Von Karman constant, z is the height from the surface, and u^* is the
 312 shear velocity defined as $u^* = \sqrt{\tau/\rho}$ in which τ is the surface shear stress.

313 3.5 Empirical wake models

314 There are several wake loss models that are based on experimental data, such as the Ishihara
 315 model developed by Ishihara et al. [70] by using wind tunnel data for a scaled model and
 316 assuming a Gaussian velocity profile. The wind speed deficit in the Ishihara model is given
 317 by,

$$U_\infty - u = \frac{\sqrt{C_T} U_\infty}{32} \left(\frac{1.666}{k_1} \right)^2 \left(\frac{x}{D} \right)^{-p} \exp\left(- \frac{r^2}{D_w^2} \right) \quad (38)$$

318 where D_w is the wake diameter defined as,

$$D_w = \frac{k_1 C_T^{0.25}}{0.833} D^{1-0.5p} x^{0.5p} \quad (39)$$

319 in which p is defined as,

$$p = k_2(I_a + I_w) \quad (40)$$

320 where I_a and I_w are the ambient turbulence intensity and the turbulence intensity induced
321 by the wind turbines respectively. I_w is estimated as,

$$I_w = \frac{k_3 C_T}{\max(I_a, 0.03)} \left(1 - \exp\left(\frac{-x^2}{25D^2}\right)\right), \quad (41)$$

322 and coefficients k_1 , k_2 , and k_3 are 0.27, 6, and 0.004 respectively.

323 **3.6 Kinematic (analytical) models**

324 The six most popular kinematic wake models, also called analytical wake models, which
325 have been developed for wind energy applications are PARK (Jensen), Xie-Archer (XA),
326 Bastankhah and Porte-Agel (BPA), Larsen, Frandsen and Geometric Model (GM). These
327 models are respectively described in the following sections.

328 **3.6.1 PARK**

329 The PARK model, developed by Jensen [71, 72], is underpinned by two major assumptions;
330 first, the velocity deficit is conserved as the wake linearly expands downstream of the wind
331 turbine, and second, the velocity deficit is only a function of the distance x downstream of

332 the turbine. Accordingly,

$$\delta = \delta(x) = \frac{U_\infty - U(x)}{U_\infty}, \quad (42)$$

333 where x is the axial distance downwind of the turbine and is often expressed as multiples
334 of the turbine diameter D and $U(x)$ is the wind speed at distance x . In the PARK model,
335 Equation 42 is expressed as,

$$\delta(x) = \frac{2a}{\left(1 + k_w \frac{x}{D}\right)^2}, \quad (43)$$

336 where k_w is the wake decay coefficient, which is a dimensionless constant and its value
337 depends on the surface roughness. Values of $k_w = 0.04$ and $k_w = 0.078$ are recommended for
338 offshore and onshore conditions respectively. In Eq. (43), the induction factor a is expressed
339 as a function of thrust coefficient C_T as,

$$a = 1 - \sqrt{1 - C_T}. \quad (44)$$

340 The diameter of the wake D_w is therefore,

$$D_w = D_w(x) = D \left(1 + 2k_w \frac{x}{D}\right). \quad (45)$$

341 In the PARK model, the only relevant spatial variable is x , and hence, the wind speed
342 and the wind speed deficit along y and z are uniform, which leads to an axis-symmetric
343 conical-shaped wake.

344 **3.6.2 Xie and Archer (XA) model**

345 The XA wake loss model, developed by Xie and Archer [73] is the only wake loss model that
346 truly depends on z and y as it predicts a wake that is not axis-symmetric or conical, but

347 ellipsoidal, which is a more realistic approximation, in particular in the presence of wind
 348 shear [74]. The wind speed deficit in the XA model is defined as:

$$\delta = \delta(x, y, z) = \delta_{hub} \exp \left\{ - \left[\frac{(z - H)^2}{2\sigma_z^2} + \frac{y^2}{2\sigma_y^2} \right] \right\} \quad (46)$$

349

$$\delta_{hub} = \delta_{hub}(x) = 1 - \sqrt{1 - \frac{C_T}{8 \frac{\sigma_y \sigma_z}{D^2}}} \quad (47)$$

350

$$\frac{\sigma_y}{D} = \frac{\sigma_y(x)}{D} = k_y \frac{x}{D} + \varepsilon; \quad \frac{\sigma_z}{D} = \frac{\sigma_z(x)}{D} = k_z \frac{x}{D} + \varepsilon, \quad (48)$$

351 where H is the hub height, $k_y = 0.025$ and $k_z = 0.0175$ are the growth rate of the wake in
 352 the y and z directions, obtained from a fit to LES results of a single turbine wake under
 353 neutral stability [73].

354 3.6.3 Bastankah and Porte-Agel (BPA) model

355 Although the BPA model has an explicit dependency on y and z , where y and z are the
 356 span-wise and vertical coordinates, respectively, the cross-section of the wake is always a
 357 circle. The wind speed deficit in the BPA model is given by the following equation,

$$\delta = \delta(x, y, z) = \delta_{hub} \exp \left\{ - \frac{1}{2 \left(k^* \frac{x}{D} + \varepsilon \right)^2} \left[\left(\frac{z - H}{D} \right)^2 + \left(\frac{y}{D} \right)^2 \right] \right\} \quad (49)$$

358

$$\delta_{hub} = \delta_{hub}(x) = 1 - \sqrt{1 - \frac{C_T}{8 \left(k^* \frac{x}{D} + \varepsilon \right)^2}} \quad (50)$$

359 where H is the hub height, $k^* = \frac{\partial \sigma}{\partial x}$ is the growth rate of the wake (which is not the same as
 360 $k_w = \frac{\partial D_w}{\partial x}$ in the previous models), σ is the standard deviation of the velocity deficit profile,
 361 and $\varepsilon = 0.25\sqrt{\beta}$. In the original study [75], k^* was found to vary between 0.030 and 0.055,

362 from fitting LES results obtained with surface roughness z_0 between 0.5 and 0.00005.

363 3.6.4 Larsen model

364 Larsen developed an analytical wake loss model using similarity technique and assuming that
 365 the wake region behind a wind turbine can be described via Prandtl's turbulent boundary
 366 layer equations [76]. It was also assumed that flow is stationary, incompressible, and the
 367 wind shear is negligible. In the Larsen model, which was the recommended model by the
 368 European Wind Turbine Standards II (EWTS II) for use in wake loading calculations [77],
 369 the wind speed deficit is a function of both axial distance x and radial distance r , while in
 370 the PARK model the wake deficit is only a function of axial distance x . In the Larsen model,
 371 the wind speed deficit is calculated as,

$$\delta = \delta(x, r) = \tag{51}$$

$$-\frac{1}{9} [C_T A (x + x_0)^{-2}]^{\frac{1}{3}} \left\{ r^{\frac{3}{2}} [3c_1^2 C_T A (x + x_0)]^{-\frac{1}{2}} - \left(\frac{35}{2\pi}\right)^{\frac{3}{10}} (3c_1^2)^{-\frac{1}{5}} \right\}^2,$$

372 where C_T is the wind turbine thrust coefficient, x and r are the axial and radial distance of
 373 the wind turbine of interest from the upstream wind turbine, $A = \pi D^2/4$ is the swept area
 374 of the rotor, c_1 is a non-dimensional mixing length defined as,

$$c_1 = \left(\frac{D}{2}\right)^{-\frac{1}{2}} (C_T A x_0)^{-\frac{5}{6}}, \tag{52}$$

375 in which x_0 is a non-dimensional reference distance defined as

$$x_0 = \frac{9.5D}{\left(\frac{D_{9.5}}{D}\right)^3} - 1, \tag{53}$$

376 where is a measure of the wake diameter at distance $9.5D$ given by the following equation,

$$D_{9.5} = D_{nb} + \min(H, D_{nb}), \quad (54)$$

377 where H is hub height and D_{nb} is a corrected wake diameter to take into account the blockage
378 effect of the ground defined as,

$$D_{nb} = \max[1.08D, 1.08D + 21.7D(I_a - 0.05)] \quad (55)$$

379 where I_a is the ambient turbulence intensity at hub height, assumed to be always greater
380 than 5%. Similar to the PARK model, the wake diameter in the Larsen model is only a
381 function of axial distance x as follows:

$$D_w = D_w(x) = 2 \left(\frac{35}{2\pi} \right)^{\frac{1}{5}} (3c_1^2)^{\frac{1}{5}} (C_T Ax)^{\frac{1}{3}}, \quad (56)$$

382 Similar to the PARK model, both wake diameter and wind speed deficit in the Larsen model
383 are independent of free stream wind speed U_∞ .

384 3.6.5 Frandsen model

385 Frandsen et al. [78] developed an analytical wake loss model by applying the momentum
386 equation to a control volume and by assuming self-similarity. They also assumed that the
387 velocity deficit is only a function of the distance x downstream of the turbine and wind speed
388 has a constant profile, similar to that of PARK model. The wind speed deficit in Frandsen
389 model is defined as,

$$\delta = \delta(x) = \frac{1}{2} \left(1 \pm \sqrt{1 - 2 \frac{A}{A_w(x)} C_T} \right), \quad (57)$$

390 in which A is the swept area of the rotor and $A_w(x) = \pi D_w^2(x)/4$ is the cross section of the
 391 wake area at distance x downstream of the turbine, where $D_w(x)$ is the wake diameter and
 392 is defined as,

$$D_w(x) = D \left(\beta^{\frac{k}{2}} + \alpha \frac{x}{D} \right)^{\frac{1}{k}}, \quad (58)$$

393 in which $\alpha = 0.7$, k is either 3 (Schlichting solution) or 2 (square root shape solution) [79],
 394 and β is the wake expansion parameter and is defined as,

$$\beta = \frac{1 + \sqrt{1 - C_T}}{2\sqrt{1 - C_T}}. \quad (59)$$

395 It should be mentioned that the Frandsen model is recommended for both small and large
 396 regular wind farms with rectangular shapes and equal spacings between turbines. Similar
 397 to the PARK and Larsen models, the Frandsen model is also independent of free stream
 398 velocity U_∞ .

399 3.6.6 Geometric model (GM)

400 The geometric model (GM) is a hybrid wake loss model that estimates the relative power
 401 generated by any downstream turbine with respect to the power generated by the front-row
 402 turbine [80]. The GM is considered as a hybrid model because it does not simulate the
 403 physical processes occurring in wakes, but rather uses empirical coefficients, derived from a
 404 multi-linear regression, to relate relative power production of a wind turbine sited in a wind
 405 farm to its geometric quantities, namely blockage ratio BR and blockage distance BD.

406 The blockage ratio BR_i of a wind turbine i in a given wind direction is the fraction of
 407 the swept area of turbine i that is blocked by the swept area of any upstream turbine. Value
 408 of BR is always between 0 and 1. A blockage ratio of 0 in a given wind direction means that
 409 the turbine is not blocked at all and receives undisturbed wind in that direction, whereas

410 a blockage ratio of 1 means that the turbine is completely blocked by the upstream wind
 411 turbines.

412 The blockage distance BD_i of wind turbine i , however, is a measure of the distance
 413 between the wind turbine of interest and the upstream blocking turbines. Hence, a larger
 414 blockage distance means a greater wind speed recovery, lower wake losses, and consequently
 415 more power production. Blockage ratio and blockage distance of a wind turbine for a given
 416 wind direction are calculated using the following equations:

$$BR_i = \frac{1}{A} \int_A \chi dA, \quad (60)$$

$$BD_i = \frac{1}{A} \int_A L\chi dA, \quad (61)$$

417 where $\chi = 1$ wherever the swept area of turbine i is blocked and zero otherwise, and L
 418 denotes the distance to the upstream blocking turbine. Once the two geometric properties
 419 are calculated for a given wind direction, then the relative power is obtained as follows:

$$P_i^{REL} = \frac{P_i}{P_{front}} = \begin{cases} \alpha + \beta BR_i + \gamma BD_i/L_\infty & BR_i \neq 0, \\ 1 & BR_i = 0, \end{cases} \quad (62)$$

420 where P_i and P_{front} are the power generated by turbine i and by the front turbine, and the
 421 fitting coefficients α , β , and γ depend on atmospheric stability. In the original paper [80],
 422 only values for neutral stability are presented.

423 3.6.7 Wake overlapping

424 When multiple wakes overlap, like in a wind farm, the wake overlapping is simply performed
 425 by taking the square root of the sum of the squared wind speed deficits induced by each
 426 individual wind turbine [72]. Hence, the total wind speed deficit at the location of turbine

427 j is,

$$\delta_{TOT} = \sqrt{\sum_{n=1}^N \delta_i^2(x_{ij})}, \quad (63)$$

428 where N is the number of upstream wind turbines and x_{ij} is the distance between turbine j
429 and the upstream turbine i . Depending on the employed model, each $\delta_i(x_{ij})$ is calculated
430 using one of the wind speed deficit equations, i.e., either Eq. (43), or Eq. (46), or Eq. (49),
431 or Eq. (51), or Eq. (57). Substituting the total wind speed deficit δ_{TOT} in $U_j = (1 - \delta_{TOT})U_\infty$
432 yields the wind speed experience by the wind turbine of interest U_j , and since wind power
433 density is proportional to the cube of wind speed, relative power of turbine j is calculated
434 as,

$$P_{rel,j} = \left(\frac{U_j}{U_\infty}\right)^3 \quad (64)$$

435 where $P_{rel,j}$ is a measure of power produced by wind turbine j divided by the maximum
436 power that is produced at the front row. The sum of squares (SS) method described in this
437 section is the most popular wake overlapping technique used in industry. In addition to
438 the SS technique, three other approaches have been introduced in literature, including the
439 geometric superposition, the linear superposition, and the sum of energy deficits [81].

440 **4 Search algorithms**

441 A list of most popular search algorithms used for wind farm layout optimization analyses
442 are presented in Table 4. According to literature, Genetic Algorithm (hereafter GA) is the
443 most popular search algorithm that has been used to perform wind farm layout optimization
444 analysis. The general procedure of the GA is illustrated in Fig. 3. First, the search process is
445 *initialized* by creating random strings of 1 and 0, respectively standing for places with turbine

Table 4: Popular search algorithms for wind farm layout optimization problem.

Optimization technique	Studies
Genetic Algorithms	[50, 18, 57, 82, 7, 49] [12, 83, 84, 56, 85, 86, 36]
Greedy Algorithms	[5, 9, 87, 88, 40]
Particle Swarm Optimization	[18, 85, 17, 89, 90, 20, 52, 91]
Ant Colony Search Algorithm	[92, 93, 94]
Mixed Integer Linear and Quadratic Optimization	[95, 96, 97, 19, 98]
Spread Sheet	[99]
Simulated Annealing	[54, 100, 40]
Definite Point Selection	[101]

446 and without turbines. Then, the *selection*, which is to select and retain certain layouts that
 447 can generate higher annual energy productions according to a given selection probability,
 448 is conducted. During the *crossover*, selected layouts (parents) are combined to create new
 449 layouts (children). Then, parts of the layouts are randomly changed during the *mutation*. In
 450 the last step, layouts of the initial population are *replaced* with new layouts (children) that
 451 have been generated provided that the new layouts perform better in comparison with the
 452 layouts of the initial population. This process continues until the solutions converges or the
 453 termination criteria are met.

454 A Greedy Algorithm is a heuristic procedure that tries to find an optimal solution close
 455 to the global optimum by determining a locally optimal solution at each stage. For instance,
 456 one wind turbine is randomly located within the legal area of the wind farm, and then
 457 the location of the second turbine is determined so that the annual energy production of
 458 the combination of the two turbines is optimized. Then, the optimal location of the third
 459 turbine is determined so that the annual energy production of the combination of the three
 460 turbines is maximized. This process continues until all turbines are placed within the wind
 461 farm area.

462 Particle Swarm Optimization (hereafter PSO), developed by Kennedy and Eberhart [102],
 463 is a population based stochastic optimization technique that iteratively improves a candidate
 464 solution. The PSO technique is somehow similar to the Genetic Algorithm as the procedure
 465 is initialized using a population of random solutions. The major difference between the PSO

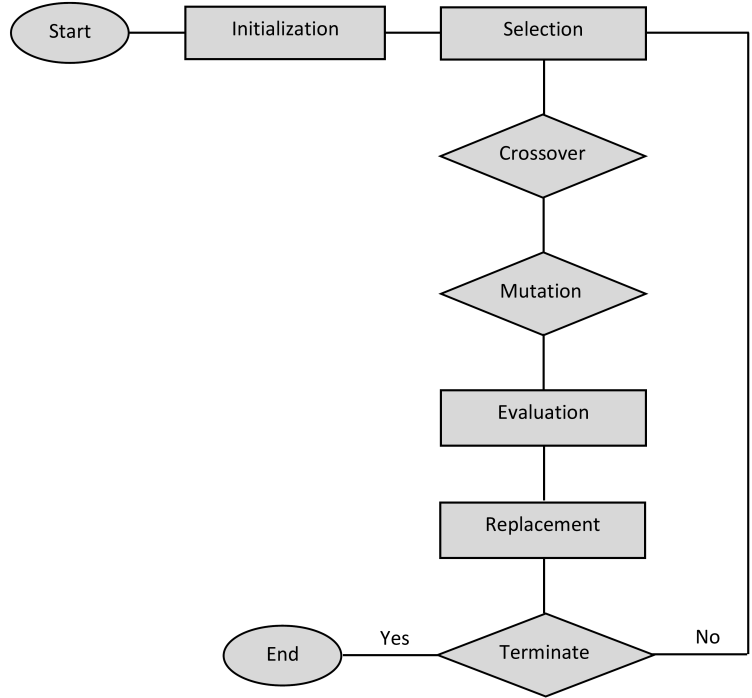


Figure 3: Genetic algorithm.

466 and the Genetic Algorithm is that in the PSO a randomized velocity is also assigned to each
 467 potential solution based on which the potential solutions, which are called particles, evolve
 468 through the hyperspace.

469 In nature, ants initially move around randomly when they are searching for food, and
 470 once they find a food source they leave a pheromone trail on the ground on their way
 471 transferring the food back to their colony. This pheromone trail helps other ants to not
 472 to move on random paths, but instead to follow the trail to the food source. Based on
 473 this behavior, Marco Dorigo [103] developed an optimization algorithm namely Ant Colony
 474 Search Algorithm (hereafter ACSA). The ACSA has been adapted for the wind farm layout
 475 optimization problem by several researchers of this field. For instance, Eroğlu and Seçkiner
 476 [93] developed an algorithm in which the contribution of each turbine to the total wake
 477 losses of the farm is assumed to be the pheromone. Accordingly, more ants are assigned
 478 to turbines with higher pheromone to improve their location. Ants move these turbines in

479 random locations, and if any new location causes the total annual energy production of the
480 wind farm to increase, then the previous layout will be replaced by the newly found more
481 efficient layout. This procedure continues until a convergence occurs.

482 Simulated Annealing (hereafter SA) is a probabilistic optimization technique which is
483 more applicable to discrete spaces where determining an approximate global optimum is
484 more preferred than a precise local optimum over the same amount of time. In fact, the
485 SA is an approach that attempts to avoid entrapment in a poor local maximum by allowing
486 an occasional downhill move. The acceptance of a downhill move depends on a control
487 parameter, called the temperature, and on the magnitude of the variation. Rivas et al.
488 (2009) used the SA algorithm coupled with a local search module to preform a wind farm
489 layout optimization analysis. The disadvantage of the employed local search was its likelihood
490 of finding a local rather than a global optimum. The main idea behind the SA algorithm
491 developed by Rivas et al. (2009) is that the algorithm moves to a neighboring layout by
492 removing a turbine, adding a turbine, or moving a turbine, and then, the annual energy
493 production is calculated for the new layout. If the annual energy production of the new
494 layout has increased in comparison to the previous layout, the new layout may be readily
495 accepted, however, if the annual energy production has decreased, the new layout is accepted
496 according to the probability calculated via the following equation,

$$P(\delta AEP) = \exp(-|\delta AEP|/t) \quad (65)$$

497 where δAEP is the variation of the annual energy production from the previous layout to
498 the new layout and t stands for the control parameter called temperature that is gradually
499 cooled (decreased) to make the system converge.

500 In the Definite Point Selection algorithm (hereafter DPS), developed by Shakoor et al.
501 [101], the wake of each wind turbine is assumed to be a triangle with one vertex located

502 upstream of the turbine and the other two vertices located downwind of the turbine (see Fig.
 503 4a). The divergence angle of the wake triangle is calculated as $\gamma = 2\tan^{-1}(\alpha)$ where α is the
 504 entrainment constant and is defined as $\alpha = 0.5/\ln(z/z_0)$ in which z and z_0 are turbine hub
 505 height and surface roughness respectively. Overlapping of the wake triangles associated with
 506 wind turbines of a wind farm forms a wake polygon with n vertices (see Fig. 4b). The shape
 507 and the area of this polygon varies with the wind direction, hence, there are A_i polygons
 508 where $i = 1 : 360$ stands for the wind direction. A point inside the wind farm area that does
 509 not fall inside any of the predetermined wake polygons is then selected as the best position
 510 for placing the next turbine inside the wind farm area.

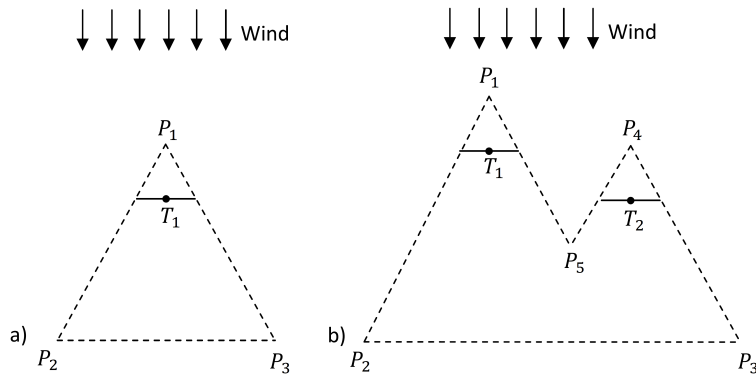


Figure 4: (a) Wake triangle and (b) wake polygon employed in Definite Point Selection algorithm to select the optimal place for wind turbines.

511 5 Practice your knowledge

512 Increasing climate change concerns and extremely high economic, health and social expenses
 513 caused by adverse environmental impacts of fossil fuels have pushed the energy industry
 514 towards sustainable energy resources, in particular, wind energy. Although wind energy
 515 provides approximately 8% of the United States generating capacity [104], which is more
 516 than any other renewable source, the global contribution of wind energy is yet too small and
 517 for wind energy to play a more significant role in the market the issues associated with the

518 wind farm under-performance must be addressed. Solving the wind farm layout optimization
519 problem is the most demanding task of wind farm design and development, hence, consider-
520 able research is actively conducted to develop more efficient solutions to this problem. Latest
521 findings, references and investigations on the major concepts associated with the wind farm
522 layout optimization problem were reviewed and discussed in this chapter. That includes
523 objective functions, optimization variables, wake loss models, and search algorithms. In this
524 section, some specific cases are proposed to assist the readers to put the knowledge shared
525 in this chapter into practice to some extent.

526

527 **Case I: Shape of the wind farm**

528 If the area of the two lands given in Figure 5a are equal ($A_1 = A_2$), and assuming that
529 the wind frequency at the location of both sites is as presented in Figure 5b, explain which
530 area is more suitable for developing a wind farm.

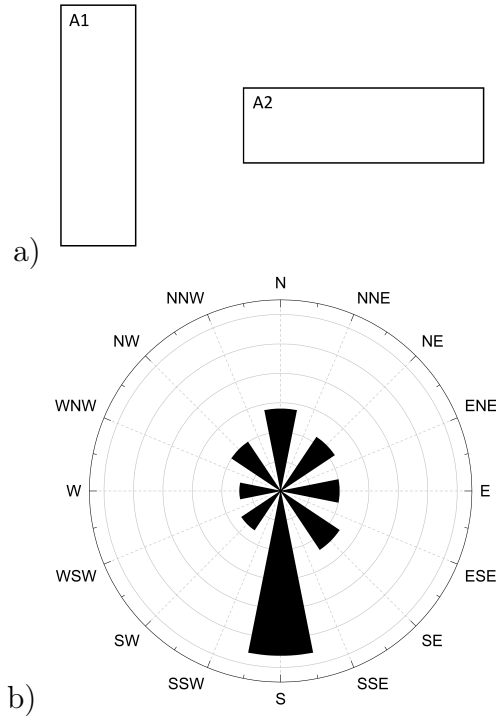


Figure 5: Case I.

531 **Case II: Wake of wind turbines**

532 A square land is available for developing a wind farm with 5 horizontal axis wind turbines
533 (see Figure 6). If wind frequency at the location of this land is as presented in Figure 6a,
534 explain which of the three layouts proposed in Figures 6b to 6d is likely to be the most
535 efficient one.

536

537 **Case III: Wind speed deficit in wind farms**

538 The layout of the Lillgrund wind farm, an offshore wind farm in Sweden with 48 SWT-

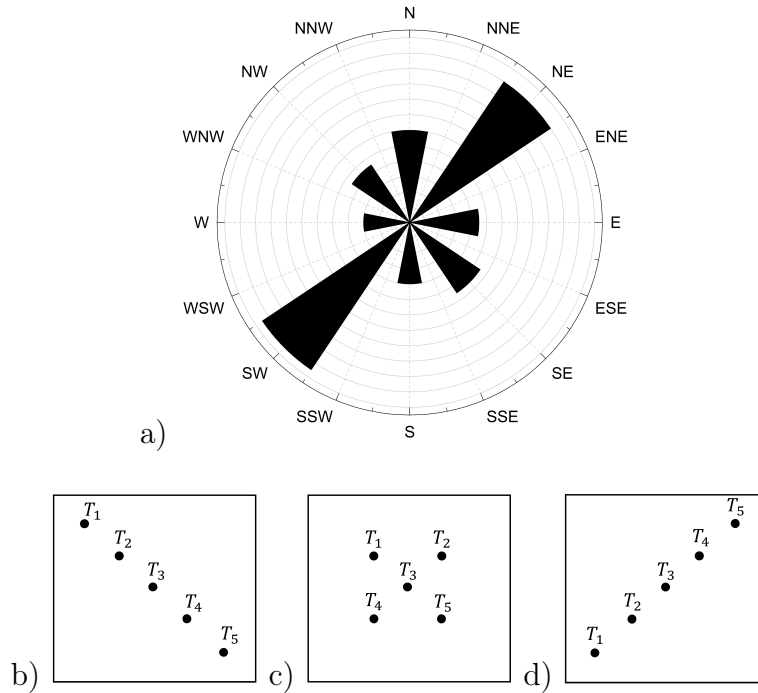


Figure 6: Case II.

539 2.3-93 wind turbines, is given in Figure 7. Assuming a southwesterly wind scenario along
 540 the direction of alignment of the wind turbines, draw a qualitative plot that illustrates the
 541 variation of the relative power production of wind turbines along columns C_1 and C_2 . (Hint:
 542 Relative power production of a wind turbine at a given wind direction is defined as the ratio
 543 of power produced by that wind turbine to the power produced by the front row turbine.
 544 Hence, the relative power production of front row turbines is always 1, while the relative
 545 power productions of all downwind turbines are below 1.)

546

547 **Case IV: Yaw angle of wind turbines**

548 Norrekaer is an onshore wind farm with 13 Siemens SWT 2.3-93 wind turbines. The
 549 layout of this wind farm is illustrated in Figure 8. First, draw a qualitative plot that shows
 550 the variation of the relative power production of wind turbines along the column. How does
 551 this plot change if turbine 5 is yawed by 20 degrees as is illustrated in Figure 8b. How would

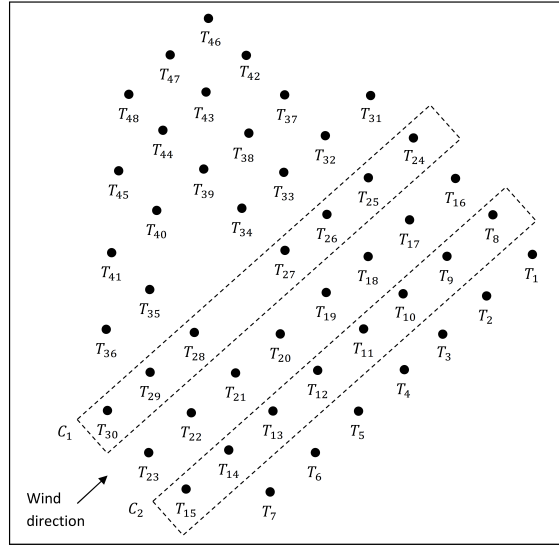


Figure 7: Cases III and XI.

552 the plot change if turbine 5 was yawed in the opposite direction?

553

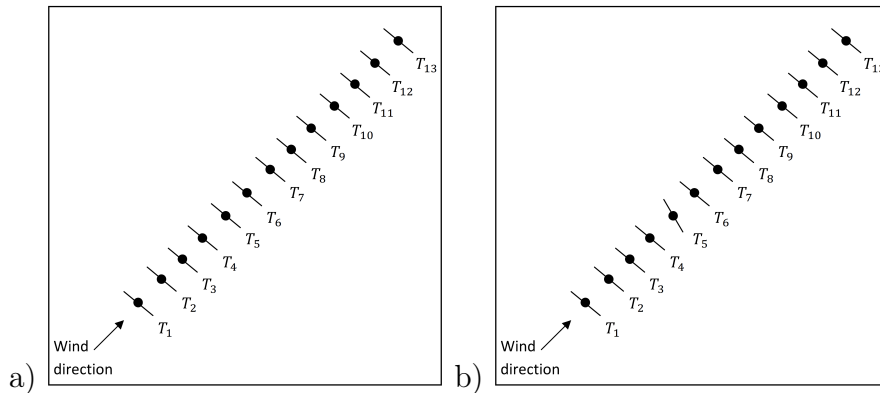


Figure 8: Problems IV and V.

554 **Case V: Variation of power production with wind direction**

555 Plot the relative power production of turbine T22 in Figure 7 and turbine T2 in Figure
 556 8a versus wind direction for wind directions varying from 0° to 360° with respect to the North.

557

558 **Case VI: Surface roughness**

559 How does the surface roughness affect the performance of a wind farm? Explain using the
 560 logarithmic boundary layer profile. A community wind farm and its associated wind rose is
 561 presented in Figure 9. Which turbine has the lowest production and needs to be relocated?
 562 Which turbine has the highest production?

563

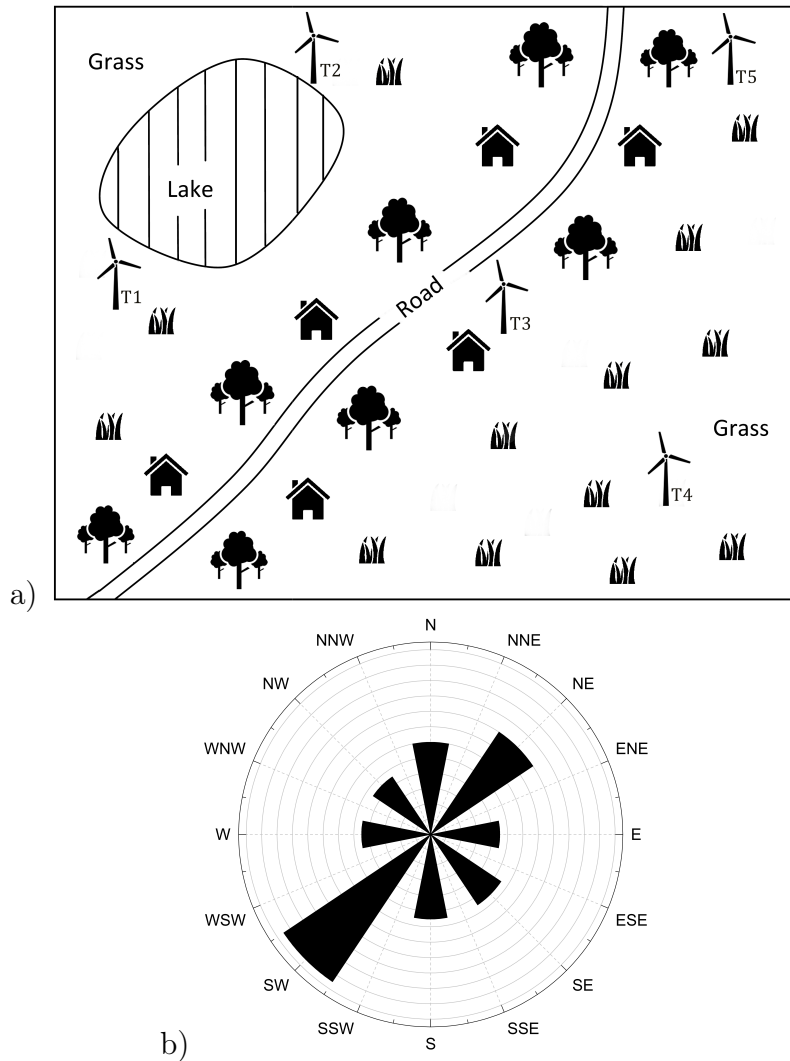


Figure 9: Case VI.

564 **Case VII: Inner turbines versus outer turbines**

565 Figure 10a illustrates the wind frequency at the location of the area given in Figure 10b.

566 Among layouts proposed in Figures 10c and 10d, which one is potentially a more efficient one?

567

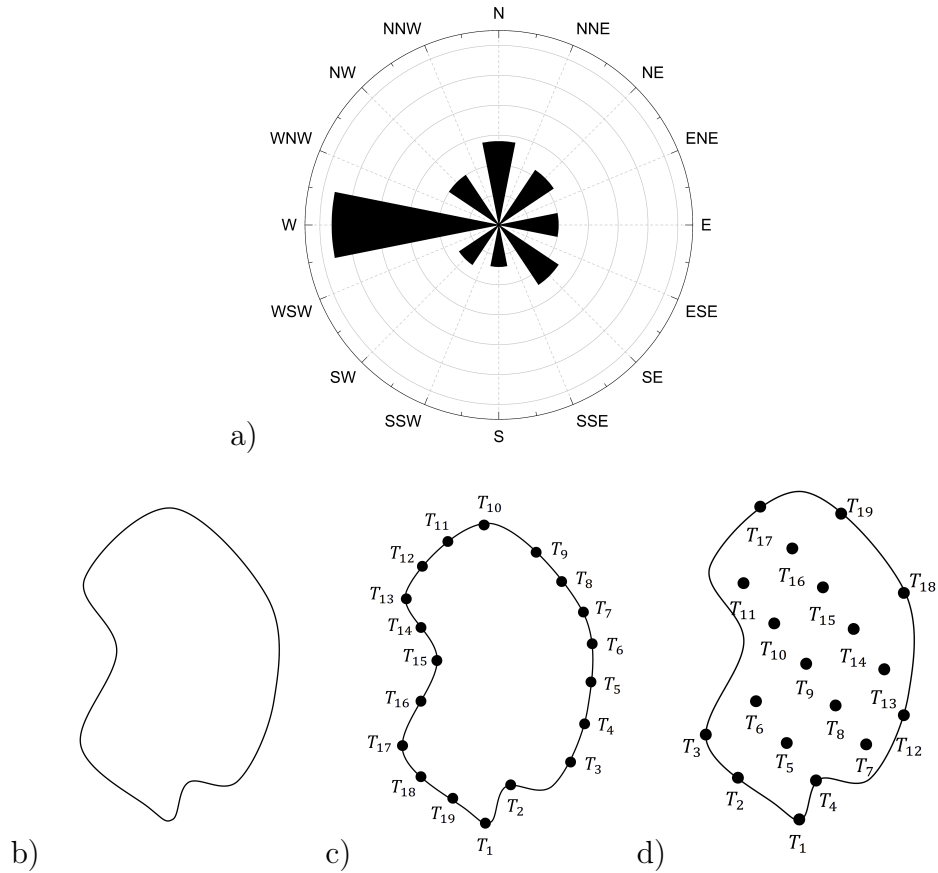


Figure 10: Case VII.

568 **Case VIII: Wind farm noise production**

569 In Figure 11, coordinates of the house and four SWT-2.3-96 wind turbines are (1337,292),
570 (279,215), (395,821), (757,1243), and (1337,1431) m, respectively. Assuming that the wind
571 turbines are the only noise sources, estimate the total sound pressure level at the location of
572 the house.

573

574 **Case IX: Hub height optimization**

575 Assume both turbines shown in Figure 2 are SWT-2.3-93 manufactured by Siemens com-

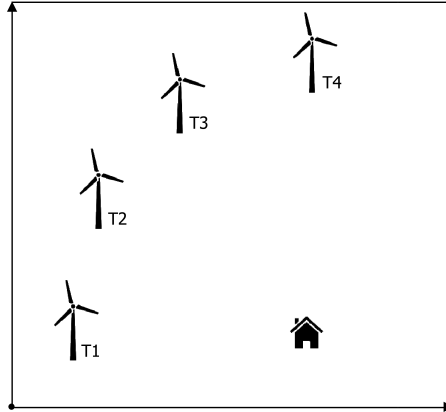


Figure 11: Case VIII.

576 pany. If $H_u = H_{max} = 120 \text{ m}$, then plot the relative power of the downwind turbine versus
 577 its hub height H_d , where $10 \text{ m} \leq H_d \leq H_{max}$.

578

579 **Case X: Fatigue loads**

580 How does the thrust coefficient of a wind turbine relate to the fatigue loads acting on
 581 that turbine?

582

583 **Case XI: Turbine type**

584 How would the annual energy production of the Lillgrund wind farm change if turbines
 585 T_i , where $i = 2, 4, 6, 8, 9, 10, 11, 12, 13, 14, 15, 24, 25, 26, 27, 28, 29, 30, 32, 34, 35,$
 586 $37, 38, 39, 40, 41, 42, 44, 46, 47, 48,$ were removed and the rest of them were replaced by
 587 SWT-8.0-154 turbines (see Figure 7). Conduct your calculations using the PARK model
 588 described in section 3.6.1 and the wind rose given in Vassel-Be-Hagh and Archer [5].

589

590 **Case XII: Atmospheric stability**

591 Atmospheric stability is a term used to qualitatively describe the potential for vertical
 592 motion in the atmosphere. Atmosphere is considered stable when it is stratified without any

593 vertical motion. This condition usually exists at night time, when there is a negative heat
594 flux at the surface and the air is cooled down from the bottom. On the other hand, when the
595 air is heated up from the bottom, which usually happens during day time, strong vertical
596 motions are generated and atmosphere is considered unstable. At sunset and sunrise, when
597 the heat flux from the surface is approximately zero, atmosphere is considered neutral. Ex-
598 plain the effect of atmospheric stability on the wake of wind turbines and power production
599 of wind farms assuming an equal geostrophic wind speed.

600

601 **Case XIII: Wind farms and hurricanes**

602 Discuss the possibility of using wind turbines to protect communities against hurricanes
603 (see [105]).

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